

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY
(Includes Reference to PCT International Applications)

Attorney's Docket No.
5367-62

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PROCESS FOR THE PRODUCTION OF LIGHT-EMITTING DIODE LIGHT SOURCES WITH A LUMINESCENCE CONVERSION ELEMENT

the specification of which (check only one item below)

☐ is attached hereto

☒ was filed as United States application

Serial No. 10/735,507

on December 11, 2003

and was amended

on _ (if applicable).

☐ was filed as PCT international application

Number

on

and was amended under PCT Article 19

on _ (if applicable).



I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN/PCT APPLICATIONS AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

| Country (if PCT, indicate "PCT") | Application Number | Date of Filing (day, month, year) | Priority Claimed Under 35 U.S.C. 119 | |
|-------------------------------------|-----------------------|--------------------------------------|---|-----------------------------|
| Germany | 102 58 193.2 | 12 December 2002 | <input checked="" type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| | | | <input type="checkbox"/> YES | <input type="checkbox"/> NO |

| | | | | | |
|---|------------------------|---|--|--|--|
| COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications) | | | | Attorney's Docket No. 5367-62 | |
| <p>POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) at <i>Cohen, Pontani, Lieberman & Pavane</i> to prosecute this application and transact all business in the Patent and Trademark Office connected therewith</p> <p style="text-align: center;">Customer number 27799</p> | | | | | |
| Send correspondence to <i>Cohen, Pontani, Lieberman & Pavane</i> at the address for the following customer Number: 27799 | | | | Direct Telephone calls to: (name and telephone number) Thomas Langer (212) 687-2770 | |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon. | | | | | |
| 2 0 1 | FULL NAME OF INVENTOR | FAMILY NAME JÄGER | FIRST GIVEN NAME Harald | SECOND GIVEN NAME | |
| | RESIDENCE, CITIZENSHIP | CITY Regensburg | STATE OR FOREIGN COUNTRY Germany | COUNTRY OF CITIZENSHIP Germany | |
| | POST OFFICE ADDRESS | POST OFFICE ADDRESS Hermann-Köhl-Str. 6c | CITY Regensburg | STATE & ZIP CODE/COUNTRY Germany 93049 | |
| 2 0 2 | FULL NAME OF INVENTOR | FAMILY NAME BRUNNER | FIRST GIVEN NAME Herbert | SECOND GIVEN NAME | |
| | RESIDENCE, CITIZENSHIP | CITY Regensburg | STATE OR FOREIGN COUNTRY Germany | COUNTRY OF CITIZENSHIP Germany | |
| | POST OFFICE ADDRESS | POST OFFICE ADDRESS Winklergasse 16 | CITY Regensburg | STATE & ZIP CODE/COUNTRY Germany 93047 | |
| COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications) | | | | Attorney's Docket No. 5367-62 | |
| SIGNATURE OF INVENTOR 201 <i>Harald Jäger</i> | | SIGNATURE OF INVENTOR 202 <i>Herbert Brunner</i> | | SIGNATURE OF INVENTOR 203 | |
| DATE <i>June 24th 2004</i> | | DATE <i>June 24th 2004</i> | | DATE | |
| SIGNATURE OF INVENTOR 204 | | SIGNATURE OF INVENTOR 205 | | SIGNATURE OF INVENTOR 206 | |
| DATE | | DATE | | DATE | |
| Additional inventor(s) name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | |

RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office
Attorney Docket 5367-62

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Harald JÄGER
Herbert BRUNNER

2. Name and address of receiving party(ies):

Name: Osram Opto Semiconductors GmbH

Internal Address:

Street Address: Wernerwerkstrasse 2

City: Regensburg

State/Country: Germany

Zip: 93049

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: 6/24/2004, 6/24/2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
10/735,507

B. Patent No.(s)
n/a

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Langer

Internal Address: Cohen, Pontani, Lieberman & Pavane

Street Address: 551 Fifth Avenue, 12th Floor

City: New York State: New York Zip: 10176

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$40.00

- ☒ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 03-2412

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas Langer
Name of Person Signing
Reg. No. 27,264

Signature

September 20, 2004
Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation
Director of the US Patent and Trademark Office
PO Box 1450
Alexandria, VA 22313-1450

ASSIGNMENT

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, We the undersigned, **Harald JÄGER, Herbert BRUNNER** (hereinafter referred to as "ASSIGNOR"):

Hereby sell, assign and transfer to **Osram Opto Semiconductors GmbH** (hereinafter referred to as "ASSIGNEE"), a corporation of Germany, having a place of business at Wernerwerkstrasse 2, 93049 Regensburg, Germany, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to the invention which is disclosed in the application for United States Letters Patent, which was filed on December 11, 2003 and has been assigned Serial No. 10/735,507, and which is entitled

PROCESS FOR THE PRODUCTION OF LIGHT-EMITTING DIODE LIGHT SOURCES WITH A LUMINESCENCE CONVERSION ELEMENT

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on said invention; and in and to all original, reissued and other patents and certificates of invention which have been or shall be issued in the United States and all foreign countries on said invention;

Agree that said Assignee may apply for and receive Letters Patent for said invention in its own name, and that, when requested, without further consideration from or charge to, but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on said invention; execute

all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said invention and for vesting title to said invention and all applications for patents and all patents on said invention, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: June 24th 2004
month/day/year

Harald Jäger
Harald JÄGER

Bob B.
Witness

Markus Schick
Witness

Date: June 24th, 2004
month/day/year

Herbert Brunner
Herbert BRUNNER

Bob Brunner
Witness

Markus Schick
Witness

Note: Signature of a witness is preferable, but not required